

North London Waste Authority
 Risk Register 22-Jun-10 17:12
 Authority: Service Contracts
 Register Owner: Andrew Lappage

North London Waste Authority 2010/11 Service Contracts Risk Register

Risk Identification							Assessment			Risk Management					Residual Risk Assessment			Contingency Strategy	
Risk Number	Title	Date Added	Risk Description (Service Contract)	Risk Owner	Effect	Category	Status	Probability	Impact	Rating (R-Y-G)	Management Actions Planned	Dated By	Management Actions Taken	Date Updated	Comments	Probability	Impact	Rating (R-Y-G)	Action to be undertaken if risk occurs
	Main Waste Disposal Contract																		
NLWA-SC01	Service failure	01/04/2010	An operational site is unavailable.	Chris Preece	Borough vehicles have to wait or discharge their loads at alternative sites.	Contractual	Active	1	3	3	Mature service - risk mitigation measures already in place.	01-Apr-10	Frontline service monitoring; monthly meetings with contractor; Emergency Plan reviewed annually.	01-Apr-10		1	3	3	Implement Emergency Plan - divert borough vehicles to alternative sites. Use contractual remedies.
NLWA-SC02	Service failure - partial	01/04/2010	Insufficient waste is recycled / composted.	Chris Preece	Authority and boroughs make less progress towards recycling targets; Authority may have to purchase landfill allowances.	Contractual	Active	1	3	3	Mature service - risk mitigation measures already in place. On-going investigations into future recycling / composting opportunities.	01-Apr-10	Weekly, monthly and quarterly tonnage data to borough officers for review on recycling; Authority officers review data monthly against its annual allocation of landfill allowances. Authority officers to assist sub-contracting of composting excess compostable material. Authority participation in trials for further recycling and/or composting.	01-Apr-10		1	3	3	Depends on reason for insufficiency. If necessary, bid for tradable landfill allowances from other waste disposal authorities. Use contractual remedies.
NLWA-SC03	Service failure - partial	01/04/2010	Insufficient waste is recovered.	Chris Preece	Authority may have to purchase landfill allowances.	Contractual	Active	1	3	3	Mature service - risk mitigation measures already in place.	01-Apr-10	Authority officers review data monthly against its annual allocation of landfill allowances.	01-Apr-10		1	3	3	Depends on reason for insufficiency. If necessary, bid for tradable landfill allowances from other waste disposal authorities. Use contractual remedies.
NLWA-SC04	Service failure - partial	01/04/2010	Contractor does not comply with permits.	Chris Preece	Depends on circumstances, but could be as at NLWA01-3 above or environmental effects.	Regulatory	Active	1	3	3	Mature service - risk mitigation measures already in place.	01-Apr-10	Frontline service monitoring; monthly meetings with contractor; inspection of EA reports and implementation of appropriate action.	01-Apr-10		1	3	3	Use contractual remedies.
	MRF Services Contracts																		
NLWA-SC05	Service failure	01/04/2010	An operational site is unavailable.	Mark Partlett	Borough vehicles have to wait or discharge their loads at alternative site.	Contractual	Active	1	3	3	Mature service - risk mitigation measures already in place.	01-Apr-10	Frontline service monitoring; two contractors appointed, so risk is spread; quarterly meetings with contractors.	01-Apr-10		1	3	3	Divert borough vehicles or arrange bulking and transport to other contractor. Use contractual remedies.
NLWA-SC06	Service failure - partial	01/04/2010	Insufficient waste is recycled.	Mark Partlett	Authority and boroughs make less progress towards recycling targets; Authority may have to purchase landfill allowances.	Contractual	Active	1	3	3	Mature service - risk mitigation measures already in place.	01-Apr-10	Regular monitoring of MRF efficiency (the absence of recyclables in process rejects).	01-Apr-10		1	3	3	Depends on reason for insufficiency. If necessary, bid for tradable landfill allowances from other waste disposal authorities. Use contractual remedies.
NLWA-SC07	Service failure - partial	01/04/2010	Contractor does not comply with permits.	Mark Partlett	Depends on circumstances, but could be as at NLWA05-6 above or environmental effects.	Regulatory	Active	1	3	3	Mature service - risk mitigation measures already in place.	01-Apr-10	Frontline service monitoring; quarterly meetings with contractors; inspection of EA reports and implementation of appropriate action.	01-Apr-10				0	Use contractual remedies.
	Civic Amenity Waste Transport																		
NLWA-SC08	Service failure - partial	01/04/2010	Insufficient plant or labour is available.	Mark Partlett	Borough CA site(s) has to temporarily close.	Contractual	Active	1	1	1	Mature service - risk mitigation measures already in place.	01-Apr-10	Frontline service monitoring; monthly meetings with contractor.	01-Apr-10		1	1	1	Use contractual remedies.
NLWA-SC09	Service failure - partial	01/04/2010	Contractor does not comply with permits.	Mark Partlett	Depends on circumstances, but could be as at 8 above or environmental effects.	Regulatory	Active	1	3	3	Mature service - risk mitigation measures already in place.	01-Apr-10	Frontline service monitoring; monthly meetings with contractor.	01-Apr-10		1	3	3	Use contractual remedies.
	WEEE Contract																		
NLWA-SC10	Service failure - partial	01/04/2010	Insufficient plant or labour is available.	Chris Preece	Borough CA sites have to dispose of WEEE in scrap metal (income) or residual waste containers (cost).	Contractual	Active	1	1	1	Mature service - risk mitigation measures already in place.	01-Apr-10	Frontline service monitoring; quarterly meetings with contractor.	01-Apr-10		1	1	1	Use contractual remedies.
NLWA-SC11	Service failure - partial	01/04/2010	Contractor does not comply with permits.	Chris Preece	Depends on circumstances, but could be as at NLWA10 above or environmental effects.	Regulatory	Active	1	3	3	Mature service - risk mitigation measures already in place.	01-Apr-10	Frontline service monitoring; quarterly meetings with contractor. Copies of hazardous waste transfer notes are retained.	01-Apr-10		1	3	3	Use contractual remedies.
	Health & Safety																		
NLWA-SC12	Health & Safety issues in contracted services	01/04/2010	Contractor employs unsafe procedures and/or practices.	Chris Preece	Injury to contractor's staff, visitors (including NLWA staff) or public.	Contractual	Active	1	3	3	Mature service - risk mitigation measures already in place.	01-Apr-10	Frontline service monitoring; routine meetings with contractors.	01-Apr-10					Use contractual remedies.